

SETTLEMENT AGREEMENT

This agreement is made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Bradford S. Bailey.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Bradford S. Bailey*, Agency Case No. 08-013, Administrative Action No. 08-EBEC-0334;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on September 26, 2008, the Commission alleged facts in an Initiating Order that Bradford S. Bailey violated the Executive Branch Code of Ethics at KRS 11A.020(1)(c);

WHEREAS, Bradford S. Bailey was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Bradford S. Bailey indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Bradford S. Bailey agree, pursuant to KRS 11A.100, as follows:

1. Bradford S. Bailey admits that he committed a violation of the Executive Branch Code of Ethics at KRS 11A.020(1)(c), as stated in Appendix A of the Commission's Initiating Order of September 26, 2008, attached hereto and incorporated by reference herein.

2. Bradford S. Bailey agrees to pay the Commission a civil penalty of two thousand dollars (\$2,000.00) concurrently with the execution of this Settlement Agreement.

3. Bradford S. Bailey agrees to cease and desist from giving his family member who may be currently employed in his office any discretionary promotions or reclassifications resulting in an increase in wages, which are not due the family member as a result of the family member taking course work offered by the Department of Revenue that would qualify the employee for a reclassification and subsequent increase in wages.

4. Bradford S. Bailey agrees to cease and desist from hiring family members to work in his office at the Barren County PVA's Office. "Family" is defined by KRS 11A.010(4) to be the any of the following: "spouse and children, as well as a person who

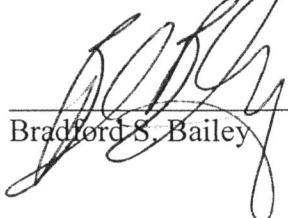
is related to a public servant as any of the following, whether by blood or adoption: parent, brother, sister, grandparent, grandchild, father-in-law, mother-in-law, brother-in-law, sister-in-law, son-in-law, daughter-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother, half-sister”.

5. Bradford S. Bailey agrees that upon the Commission entering the Agreed Final Order in the above referenced matters that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

6. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Bradford S. Bailey*, Agency Case No. 08-013, Administrative Action No. 08-EBEC-0334.

7. This Settlement Agreement constitutes a public reprimand to Bradford S. Bailey, a copy of which will be provided to his current appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

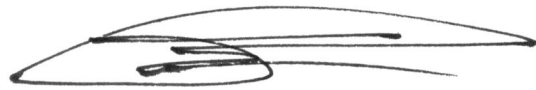


Bradford S. Bailey

020612

Date

EXECUTIVE BRANCH ETHICS COMMISSION:



Chair, Ronald L. Green

3/19/12

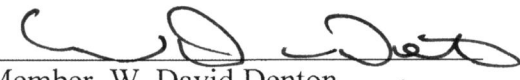
Date

Angela R. Edwards

Vice-Chair, Angela Edwards

3/19/12

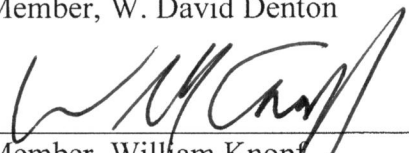
Date



Member, W. David Denton

3/19/12

Date



Member, William Knopf

3.19.12

Date

William L. Francis

Member, William Francis

3-19-12

Date